



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

SW

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/492,137	01/27/2000	Takayuki Watanabe		9482
7590	01/30/2004		EXAMINER	
WENDEROTH, LIND & PONACK, L.L.P. 2033 K Street Suite 800 Washington, DC 20006			MADSEN, ROBERT A	
			ART UNIT	PAPER NUMBER
			1761	

DATE MAILED: 01/30/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>
	09/492,137	WATANABE ET AL.
	<b>Examiner</b>	<b>Art Unit</b>
	Robert Madsen	1761

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

1) Responsive to communication(s) filed on 30 September 2003.

2a) This action is FINAL.      2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

4) Claim(s) 1-4, 6, 8 and 10-13 is/are pending in the application.

4a) Of the above claim(s) 11 and 13 is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 1-4, 6, 8, 10 and 12 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. §§ 119 and 120

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All b) Some \* c) None of:

1. Certified copies of the priority documents have been received.

2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.

3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

13) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

a) The translation of the foreign language provisional application has been received.

14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

#### Attachment(s)

1)  Notice of References Cited (PTO-892)      4)  Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_

2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)      5)  Notice of Informal Patent Application (PTO-152)

3)  Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_      6)  Other: \_\_\_\_\_

**DETAILED ACTION**

1. The Amendment filed September 30, 2003 has been entered. Claims 5,7,8,9 have been cancelled. Claims 1-4,6,8,10-13 remain pending, and claims 11 and 13 were withdrawn from consideration as being non-elected inventions.

***Claim Rejections - 35 USC § 103***

2. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

3. Claims 1-4,6,8,10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shisheido (JP06040845 A) in view of Hattori (JP 06157238A).

4. Regarding claims 1-4,6,8,10, Shiseido teaches a solid powder containing trehalose up to 50% as recited in claims 1 and 6, with perfume and a water soluble polymer. The intended purpose is a non-sticky cosmetic that maintains moisture, but is still stable (English Abstract and English Specification Paragraphs 0001-0006). However, Shiseido is silent in teaching the water soluble polymer includes hemicellulose with a MW of 50,000 to 1,000,000 derived from soybean by acidic heat extraction as recited in claims 1-4 at 10-40% in the powder as recited in claim 8, with a weight ratio of 30:1 to 1:25 or 8:1 to 1:1 trehalose to hemicellulose as recited in claims 1 and 10.

5. Hattori et al also teaches a cosmetic that retains moisture for an extended shelf life using water soluble polymers. Hattori et al. teach that conventional water soluble polymers have faults and add hemicellulose at a level of 0.001-20% to increase the

Art Unit: 1761

moisture retention and increase shelf life, as recited in claim 8 (English Specification Paragraphs 0001-0007, 0015-0020). Hattori et al. further teach the water soluble hemicellulose has a MW of 50,000 to 1,000,000 derived from soybean by acidic heat extraction as recited in claims 1-4 ( English Abstract and English Specification Paragraph 0011).

6. Therefore, it would have been obvious to include hemicellulose in the solid powder cosmetic of Shiseido in the solid powder and at an amount between 10% and 40% (e.g. 10-20%),as recited in claims 1 and 8, or a weight ratio of 30:1 to 1:25 or 8:1 to 1:1 trehalose to hemicellulose (e.g. 5:1 from 50% trehalose as taught by Shiseido and 10% hemicellulose as taught by Hattori et al.) as recited in claims 1 and 10, since Hattori et al. teach hemicellulose overcomes the problems of conventional water soluble polymers at these levels to enhance the moisture retention and increase shelf life of cosmetics. One would have been substituting one known water soluble polymer for another for the same purpose: a cosmetic that retains moisture without deteriorating for an extended shelf life. It would have been further obvious to select hemicellulose with a MW of 50,000 to 1,000,000 derived from soybean by acidic heat extraction, as recited in claims 2-4, since Hattori et al. teach this is the conventional type of hemicellulose used to enhance the moisture retention and increase shelf life of cosmetics. One would have been substituting one known water soluble polymer for another for the same purpose: a cosmetic that retains moisture without deteriorating for an extended shelf life.

Art Unit: 1761

7. Claims 1,6,8, 10 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Morimoto et al. (JP 10056969 A) in view of Morimoto et al. (JP 10066516).

8. Morimoto et al. ('969 ) teaches a soybean protein/cocoa granule for a drink, as recited in claim 12, wherein the cocoa, which is taken to be a coloring agent as recited in claim 1, 1-6% pullan or hemicellulose as recited in claim 1 (See English Abstract and English Specification, paragraphs 0008-0018). However, Morimoto is silent in teaching trehalose in a quantity of 25 -85% or 40-80%, as recited in claims 1 and 6, such that the trehalose to hemicellulose ratio falls within the range of 30:1 to 1:25, as recited in claim 1, and 8:1 to 1:1, as recited in claim 10.

9. Morimoto et al. ( '516) also teaches a soybean protein/cocoa powder for preparing a drink comprising cocoa, pullan, and trehalose. However '516 teaches that soybean protein flavor is very pronounced and one must add at least 5% trehalose, and up to 40%, to mask the soybean flavor in the cocoa (Abstract, paragraph 0001-0015). Therefore, it would have been obvious to modify '969 and include anywhere from 25% to 40% trehalose in the soybean protein/cocoa powder, as recited in claims 1 and 6, such that the trehalose to hemicellulose ratio falls within the range of 30:1 to 1:25 or 8:1 to 1:1, as recited in claims 1 and 10, since '516 teaches 5-40% trehalose improves the flavor of a soybean protein/cocoa powder.

***Response to Arguments***

10. Applicant's arguments with respect to the amended claims have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

11. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

12. A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Robert Madsen whose telephone number is (571) 272-1402. The examiner can normally be reached on 7:00AM-3:30PM M-F.

Art Unit: 1761

14. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Milton Cano can be reached on (571) 272-1398. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

15. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0061.

Robert Madsen  
Examiner  
Art Unit 1761

*Milton I. Cano*  
MILTON I. CANO  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 1700